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JC143 U.S. PTO
10/12/01

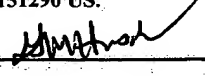
Practitioner's Docket No. 939_030

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on October 12, 2001 under "EXPRESS MAIL" mailing label number EV 002151290 US.



Gina M. Husak

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO
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 Nagoya, Aichi-Pref. 467-8530
 JAPAN

Inventor 2: Masashi FUKUYAMA
 Address: 2-56, Suda-cho, Mizuho-ku
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Title: FIBER ARRAY, METHOD FOR FABRICATING THE SAME AND OPTICAL DEVICE USING THE FIBER ARRAY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 11 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1(a)-4(c))

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of: Japanese Applications 2000-316970 filed October 17, 2000 and 2001-258465 filed August 28, 2001
- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
 2-56, Suda-cho, Mizuho-ku
 Nagoya, Aichi-Pref. 467-8530
 JAPAN

J1040 U.S. PTO
 09/976462

 10/21/01

09976462-101201

3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 740.00
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
- B. Fees for Claims (9 Claims; 1 Indep.)
☐ each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00)
☐ each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$280.00; small entity--\$140.00)
- C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: *If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$.00
(37 C.F.R. 1.17(h) and 1.47--\$130.00)
- E. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)
- F. ☒ Assignment Fee \$ 40.00

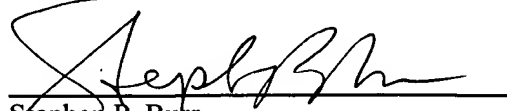
Total Fees Due \$ 910.00

T.D2T.DT 29192660

**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

October 12, 2001
Date

SPB/gmh

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